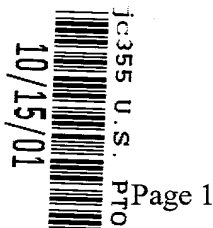


ATTORNEY'S DOCKET NO.:
020431.1026

PATENT



Page 1

Express Mail No.: EL 759103622 US

PATENT APPLICATION

Attorney Docket No.: 020431.1026



TRANSMITTAL FOR U.S. PATENT APPLICATION
UNDER 37 C.F.R. § 1.53(b)

Box: Patent Application
ASSISTANT COMMISSIONER FOR PATENTS
Washington, DC 20231

Sir:

Inventor or Application Identifier:

Chethan (nmi) Gorur, et al.

Transmitted herewith for filing is the patent application entitled:

**Customized State Machine and State Aggregation Technique for Processing
Collaborative and Transactional Business Objects**

Enclosed are:

- ☒ Specification, Claims and Abstract (31 Total Pages)
- ☒ Drawing(s) (2 Total Sheet(s) of Formal ☒ Informal)
- ☒ Combined Declaration and Power of Attorney (3 Total Pages)
 - ☒ Newly executed (original or copy)
- ☒ An Assignment of the invention to i2 Technologies US, Inc. is attached. A separate cover sheet in compliance with 37 C.F.R. § 3.28 and § 3.31 is included with an Assignment recordal fee of \$40.00 pursuant to 37 C.F.R. § 1.21(h).
- ☒ Certificate of Mailing (1 page)
- ☒ Return Receipt Postcard
- Information Disclosure Statement (IDS) with PTO-1449
- Copies of IDS Citations.
- ☒ Non-Publication Request Under 35 U.S.C. § 122(b)(2)(B)(i) (1 page);
- Other

Express Mail Receipt
No. EL 759103622 US

Applicant is:

☒ Large Entity
☐ Small Entity

The accompanying application is:

☒ Original
☐ Continuation ☐ Divisional ☐ Continuation-In-Part
☐ (CIP) of prior application No. _____ which is hereby incorporated by
reference therein.

FEE CALCULATION (LARGE ENTITY)					FEE
	Number		Number Extra	Rate	<u>Basic Fee</u> \$740.00
Total Claims:	40	-20 =	20	X \$18.00	360.00
Independent Claims	76	- 3 =	4	X \$84.00	336.00
TOTAL FILING FEE =					\$1,436.00

☒ Please charge \$1,436.00 to Deposit Account No. 02-0384 of BAKER BOTTS L.L.P.
A duplicate copy of this sheet is enclosed.

Respectfully submitted,

BAKER BOTTS L.L.P.



Christopher W. Kennerly
Reg. No. 40,675
Attorneys for Applicants

Dated: October 15, 2001

Correspondence Address:

☒ Customer Number or Bar Code Label





10/15/01

10-18-01

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ATTORNEY'S DOCKET NO.:
020431.1026

PATENT

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jc996 U.S. PTO
09/978277
10/15/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Chethan (nmi) Gorur, et al.
Filing Date: October 15, 2001
Title: CUSTOMIZED STATE MACHINE AND STATE
AGGREGATION TECHNIQUE FOR PROCESSING
COLLABORATIVE AND TRANSACTIONAL BUSINESS
OBJECTS

BOX PATENT APPLICATION

Assistant Commissioner

for Patents

Washington, DC 20231

Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Patent Application, Fee Transmittal Sheet, Declaration and Power of Attorney, Assignment and Assignment Cover Sheet, Certificate of Mailing, 2 sheets of Informal Drawings, Nonpublication Request, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 15th day of October, 2001 and are addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Willie Jiles
Willie Jiles

Express Mail Receipt
No. EL 759103622 US
Attorney's Docket No.:
020431.1026

ATTORNEY DOCKET:
020431.1026

PATENT APPLICATION

1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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COLLABORATIVE AND TRANSACTIONAL BUSINESS
OBJECTS

BOX: Patent Application

Assistant Commissioner of Patents
Washington, D.C. 20231

Dear Sir:

NON-PUBLICATION REQUEST

Pursuant to 37 C.F.R. § 1.213(a), Applicants respectfully request that the above-identified patent application not be published under 37 U.S.C. § 122(b). Applicants hereby certify that the invention disclosed in the above-identified application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

Respectfully submitted,
BAKER BOTTS L.L.P.
Attorneys for Applicants



Christopher W. Kennerly
Reg. No. 40,675

Please send all correspondence to:

Baker Botts L.L.P.
2001 Ross Avenue, Suite 600
Dallas, Texas 75201-2980
(214) 953-6812

Date: October 15, 2001